

INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Docket Number 04-6163	Application Number 10/767,546
	Applicants Thomas J. DALEY, et al.	
	Filing Date January 29, 2004	Group Art Unit 3684

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number	Date	Name of Patentee	Class	Sub-Class	Filing Date
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Examiner Initials	Cite No.	Foreign Document Number	Date	Country Code	Name of Patentee or Applicant of Cited Document	Sub-Class	Translation
/G.V./		WO 2001/093169 A1	12/6/2001	PCT	Waelbroeck et al.		

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Author, Title, date, pages, volume, publisher, city and/or country where published
/G.V./		Australian Examiner's Report for Application No. 2005208980, dated November 17, 2009 (2 pages).
↓		Australian Examiner's Report for Application No. 2005208978, dated November 26, 2009 (1 page).
↓		Australian Examiner's Report for Application No. 2005208981, dated December 14, 2009 (3 pages).
↓		USPTO Office Action for Application No. 10/767,649, August 27, 2009 (20 pages).
↓		USPTO Examiner Interview Summary for Application No. 10/767,699, December 23, 2009 (3 pages).
↓		USPTO Examiner's Answer to Appeal Brief for Application No. 10/767,699, December 24, 2009 (16 pages).
↓		USPTO Examiner's Answer to Appeal Brief for Application No. 10/766,965, January 20, 2010 (19 pages).
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↓		George Simon & Kathryn Trikla, "The Regulation of Specialists and Implications for the Future," Business Lawyer, Nov. 2005, pp. 217-387.
/G.V./		The 'Hybrid Approach': A review of NYSE's Market Structure Proposal, Mondaq Business Briefing, NA, Dec 9, 2004.
Examiner Signature	/Gerald Vizvary/	Date Considered 07/28/2010